

This Provisional PDF corresponds to the article as it appeared upon acceptance. Copyedited and fully formatted PDF and full text (HTML) versions will be made available soon.

The prolyl hydroxylase enzymes are positively associated with hypoxia-inducible factor-1alpha and vascular endothelial growth factor in human breast cancer and alter in response to primary systemic treatment with epirubicin and tamoxifen

Breast Cancer Research 2011, **13**:R16 doi:10.1186/bcr2825

Stephen B Fox (stephen.fox@petermac.org)
Daniele Generali (daniele.general@gmail.com)
Alfredo Berruti (alfredo.berruti@gmail.com)
Maria P Brizzi (mariapia.brizzi@email.it)
Leticia Campo (Leticia.Campo@ndcls.ox.ac.uk)
Simone Bonardi (simon.bonardi@tiscali.it)
Alessandra Bersiga (alessandrabersiga@virgilio.it)
Giovani Allevi (b.u.cremona@email.it)
Manuela Milani (manuela.milani@googlemail.com)
Sergio Aguggini (sergio.aguggini@email.it)
Teresa Mele (teremele@gmail.com)
Luigi Dogliotti (luigi.dogliotti@unito.it)
Alberto Bottini (alberto.bottini@email.it)
Adrian L Harris (adrian.harris@cancer.org.uk)

ISSN 1465-5411

Article type Research article

Submission date 5 August 2010

Acceptance date 3 February 2011

Publication date 3 February 2011

Article URL <http://breast-cancer-research.com/content/13/1/R16>

This peer-reviewed article was published immediately upon acceptance. It can be downloaded, printed and distributed freely for any purposes (see copyright notice below).

Articles in *Breast Cancer Research* are listed in PubMed and archived at PubMed Central.

For information about publishing your research in *Breast Cancer Research* go to

© 2011 Fox *et al.*; licensee BioMed Central Ltd.

This is an open access article distributed under the terms of the Creative Commons Attribution License (<http://creativecommons.org/licenses/by/2.0>), which permits unrestricted use, distribution, and reproduction in any medium, provided the original work is properly cited.

The prolyl hydroxylase enzymes are positively associated with hypoxia-inducible factor-1alpha and vascular endothelial growth factor in human breast cancer and alter in response to primary systemic treatment with epirubicin and tamoxifen

Stephen B Fox^{1*}, Daniele Generali², Alfredo Berruti³, Maria P Brizzi², Leticia Campo⁴, Simone Bonardi², Alessandra Bersiga², Giovanni Allevi², Manuela Milani⁴, Sergio Aguggini², Teresa Mele^{2,3}, Luigi Dogliotti³, Alberto Bottini², Adrian L Harris⁴

¹Peter MacCallum Cancer Centre, St Andrews Place, East Melbourne, Victoria, 3002, Australia

²Unità di Patologia Mammaria – Breast Cancer Unit and Anatomia Patologica, Azienda Istituti Ospitalieri di Cremona, Viale Concordia 1, 26100, Cremona, Italy

³Oncologia Medica, Dipartimento di Scienze Cliniche e Biologiche, Università di Torino Azienda Ospedaliera San Luigi di Orbassano, Regione Gonzole 10, Orbassano 10043, Italy

⁴Molecular Oncology Laboratories, Weatherall Institute of Molecular Medicine, University of Oxford, John Radcliffe Hospital, Oxford, OX3 9DS, UK.

*Correspondence to: Stephen B Fox, stephen.fox@petermac.org

Abstract

Introduction: The purpose of this study was to investigate the relationship of expression of hypoxia inducible factor (HIF)-1alpha modifying enzymes prolyl hydroxylase (PHD)1, PHD2 and PHD3 to response of tumours and survival in breast cancer patients enrolled in a phase II trial of neoadjuvant anthracycline and tamoxifen therapy.

Methods: The expression of PHD1, PHD2 and PHD3 together with HIF-1alpha and the HIF-inducible genes vascular endothelial cell growth factor (VEGF) and carbonic anhydrase (CA) IX were assessed by immunohistochemistry using a tissue microarray approach in 211 patients with T2-4 N0-1 breast cancer enrolled in a randomized trial comparing single agent epirubicin versus epirubicin and tamoxifen as primary systemic treatment.

Results: PHD1, PHD2 and PHD3 were detected in 47/179 (26.7%), 85/163 (52.2%) and 69/177 (39%) of tumours at baseline. PHD2 and PHD3 expression was moderate/strong whereas PHD1 expression was generally weak. There was a significant positive correlation between HIF-1alpha and PHD1 ($P = 0.002$) and PHD3 ($P < 0.05$) but not PHD2 ($P = 0.41$). There was a significant positive relationship between VEGF and PHD1 ($P < 0.008$) and PHD3 ($P = 0.001$) but not PHD2 ($P = 0.09$). PHD1, PHD2 and PHD3 expression was significantly increased after epirubicin therapy (all $P < 0.000$) with no significant difference in PHD changes between the treatment arms. There was no significant difference in response in tumours that expressed PHDs and PHD expression was not associated with survival.

Conclusions: Although expression of the PHDs was not related to response or survival in patients receiving neoadjuvant epirubicin, our data provides the first evidence that these enzymes are upregulated on therapy in breast cancer and that the biological effects independent of HIF make them therapeutic targets.

Introduction

The hypoxic response through hypoxia inducible factor (HIF), is recognised as one of the most important microenvironmental influences on tumour behaviour that enables tumours to acquire an aggressive phenotype and become resistant to both chemo and radiotherapy [1, 2]. Although HIF-1 α is transcribed continuously, in normoxia its levels are kept low by a rapid degradation process through the ubiquitin-proteasome system [3]. This is achieved through hydroxylation of two prolyl residues at Pro-402 and Pro-564 in the oxygen dependent of HIF-1 α [4]. This leads to recognition by the von Hippel-Lindau tumour suppressor protein and targeting for degradation [5]. Three isoenzymes, prolyl hydroxylase-domain (PHD)1, PHD2 and PHD3 are responsible for the modification of HIF-1 α , the activity of which is dependent on the presence of oxygen as a co-substrate together with iron, ascorbate and 2-oxoglutarate as essential cofactors [6]. Therefore as the abundance of molecular oxygen for hydroxylation decreases, as occurs in the hypoxic microenvironment of a tumour with its disordered and leaky vasculature, a reduction in PHD enzymatic activity occurs, allowing accumulation of HIF-1 α . HIF-1 α is then able to translocate to the nucleus where it dimerises with its constitutively expressed partner HIF-1 β (also known as aryl nuclear hydrocarbon translocator) and then binds to the hypoxic response element of genes that enhance tumour cell survival such as glycolysis (Glut1), angiogenesis (e.g. vascular endothelial growth factor (VEGF)), iron metabolism (transferrin), pH control (carbonic anhydrase (CAIX)) and haemoglobin synthesis (erythropoietin)(reviewed in [1]).

HIF-1 α and its downstream genes such as VEGF and CAIX are associated with advanced tumour stage, metastases and a shorter survival in breast cancer [7-9]. The HIF pathway also upregulates several genes that lead to a pro-apoptotic phenotype, showing the complicated effects of HIF in tumors. This paradox is observed in breast cancer where there appears to be a pivotal switch in the HIF induced gene for BNIP3 with progression from *in situ* to invasive carcinoma [10]. This apparent dual action of HIF is also emphasized in tumour models that have demonstrated that HIF is both able to enhance tumor growth and

angiogenesis and also data to show that HIF has tumor suppressive activity [11] [12] [13]. Since the PHDs directly regulate and are regulated by HIF-1 α , and have been shown to control a number of other significant intracellular factors including the adrenergic receptor and NF κ B [14], the above apparent opposing actions potentially being due to the action of the PHDs.

However, although many of the downstream HIF genes have been studied extensively in breast tumours there are limited data for the PHDs [15]. We therefore decided to assess the role of the PHDs in breast cancer patients treated by neoadjuvant chemotherapy in the setting of a phase II randomised trial. This gives the optimal opportunity for investigating the effect of the PHDs, since HIF has been shown to mediate resistance to chemo and radiotherapy and biopsies can be compared both pre and post treatment. Our aims were (1) to investigate the expression of the regulatory hydroxylases, PHD1, PHD2 and PHD3 in tumours from patients at baseline and post treatment (2) to correlate the expression of these factors with clinico-pathological parameters, (3) to explore the potential of these factors as biomarkers for predicting tumour response, and (4) to explore the association between changes in their expression and survival.

Materials and methods

Patients

Patients with T2-4 N0-1 breast cancer were recruited in a randomized trial comparing single agent epirubicin (EPI arm) versus epirubicin plus tamoxifen (EPI-TAM arm) as the primary systemic treatment [16]. Patients were accrued from January 1997 to December 2001. The study was approved by the Institutional Ethics Committee. All patients gave written informed consent to the diagnostic procedures, the proposed treatment, and the biological evaluations. Two hundred and eleven patients were enrolled, 105 were randomized to receive epirubicin alone, and 106 were randomized to receive epirubicin plus tamoxifen. On first presentation, an incision biopsy was done on each patient and a small tissue sample (5-8mm) was removed.

Chemotherapy was started within 2 days of diagnosis. Patients in the EPI arm received 60 mg/m² of epirubicin (Farmorubicina, Pharmacia, Milan, Italy) by slow i.v. push on days 1 and 2; whereas patients on the EPI-TAM arm received 60 mg/m² of epirubicin by slow i.v. push on days 1 and 2 and 30 mg of tamoxifen (Kessar, Pharmacia) daily. Epirubicin injections were repeated every 21 days for three or four cycles before definitive surgery, whereas tamoxifen was given continuously until definitive surgery. All patients postoperatively received four cycles of the CMF regimen [i.v. cyclophosphamide (600 mg/m²), i.v. methotrexate (40 mg/m²), and i.v. 5-fluorouracil (600 mg/m²) on days 1 and 8, every 28 days; ref. 11]. Patients with estrogen receptor (ER) –positive primary tumour in both treatment arms received tamoxifen (20 mg, i.e., lower than the primary dose) starting after surgery, up to progression or for a maximum of 5 years. The median follow up of patients was 53 months (August 2004; range, 13-95). Treatment evaluation. The same clinician used a caliper measuring monthly the size of the primary tumour and the size of the axillary lymph nodes, when appreciable. Response was assessed before definitive surgery by the clinical measurement of the changes in the product of the two largest diameters recorded in two successive evaluations. According to WHO criteria tumour progression was defined as an increase of at least 25% in tumour size; stable disease was defined as an increase of <25%, or a reduction of <50%; partial response was defined as a tumour shrinkage >50%; and complete response was defined as the complete disappearance of all clinical signs of disease [17]. Pathologic complete response was defined as the absence of neoplastic cells in the breast and in the axillary lymph nodes. Surgery was planned after full clinical reassessment. Quadrantectomy or modified radical mastectomy was done when indicated in association with full axillary node dissection. All patients subjected to quadrantectomy underwent irradiation of the residual breast (60 Gy delivered over 6 weeks).

Histopathologic grade and immunohistochemistry

Tumour grade was evaluated using the modified Bloom and Richardson method. Immunohistochemical evaluation was done on paraffin-embedded tumour samples obtained at diagnosis and at definitive surgery. Bcl2, p53, ER, progesterone receptor (PgR), and Ki67 staining were done at the Pathology Unit of the Azienda Ospedaliera Istituti Ospitalieri of Cremona (Italy). The immunohistochemical method used for routine markers is fully described elsewhere [18]. Immunohistochemistry for PHD1, PHD2, and PHD3 was performed on sections from tissue microarrays (TMA) containing two 1 mm tumour cores taken from selected morphologically representative tumour regions of each paraffin embedded breast tumour from both the initial diagnostic incisional biopsy and from tumour remaining at definitive surgery. Quality control was assessed on each block by H&E staining. The TMA sections were cut from each block at 4 µm thick intervals, dewaxed, placed through graded alcohol and placed into water. Antigen retrieval was performed in PT Link (Dako, Glostrup, Denmark) using low pH for PHD1, PHD2 and PHD3 and high pH for HIF-1 α , EnVision FLEX Target Retrieval Solution (Dako, Glostrup, Denmark) for 20 mins at 100°C. VEGF required antigen retrieval in pH 8 buffer (20mM Tris/1mM EDTA/10mM sodium citrate) for 2 mins in a pressure cooker. Endogenous peroxidase was blocked with EnVision FLEX Peroxidase-Blocking Reagent (Dako, Glostrup, Denmark) before incubating the sections with respective monoclonal antibodies, which were produced by our group. They were used to detect the hydroxylases were PHD1 (112), PHD2 (366G/76) and PHD3 (EG188e) and have been previously been extensively validated [15]. Slides were counterstained with hematoxylin and mounted.

Immunohistochemical scoring criteria

Briefly for bcl2, p53 and Ki67 staining was scored by counting the number of positively stained cells and expressed as a percentage of the total tumor cells (at least 1000) counted across several representative fields of the section using a standard light microscope equipped

with a 10 × 10 square graticule. Reproducibility of counting was assessed by a second investigator rescoring 10 slides [19, 20]. Cut-off were not used but median values in each groups compared. The ER and PgR was assessed in a semiquantitative fashion as described previously by McCarty *et al.* [21], incorporating both the intensity and distribution of specific staining. A value (H-SCORE) was derived from the sum of the percentages of positive-stained epithelial cells multiplied by the weighted intensity of staining. Specimens were deemed receptor positive if the H-SCORE was greater than 100. The immunohistochemical evaluation at mastectomy was performed by the same pathologists, who remained blinded as regard as the disease response and the score assessed at first biopsy.

For PHDs and VEGF scoring was done according to the previously used semi-quantitative system [15, 22, 23]. Although nuclear staining for the PHDs, occurred this was at low frequency, so for PHDs and VEGF scoring of the percentage of cells expressing the protein and the intensity of staining in the cytoplasm was performed. The scoring system for intensity was: 0 = no staining, 1 = weak staining, 2 = moderate staining, 3 = strong staining; and the scoring system for percentage was: 0 = no cells staining positive, 1 = < 10% cells staining positive, 2 = 11% to 50% positive cells, 3 = 51% to 80% positive cells, 4 = > 80% positive cells. At least 10% of cells needed to be stained to be considered positive. HIF-1 α was scored only according to the presence (1+) or absence (0) of nuclear expression. For CAIX membrane staining, a score of 0-3 for the intensity was given (0: no staining, 1: weak staining, 2: moderate staining, 3: strong staining). For HIF and CAIX any staining was considered positive, as hypoxia is frequently focal. The missing cases indicated in Table 1 were not scored due to an absent core or insufficient number of tumor cells present in the TMA core (see Additional file 1).

Statistical methods.

Chi square Test, chi square test for trend, and Fisher exact test were used when indicated to perform comparisons of proportions. Kruskal-Wallis ANOVA was done to compare

continuous variables. Correlations between discrete variables were done by Spearman R test for non parametric data. Comparison of discrete data in matched tumour samples was done using Wilcoxon rank-sum test for paired data. Overall survival (OS) was calculated from randomization to the occurrence of disease relapse or disease-related death. Patients were censored if they were free from recurrence and alive at the last follow-up period. OS curves were estimated using the Kaplan-Meier method. Unadjusted differences in these estimates were assessed with the log-rank test. All P values reported were two-sided; values < 0.05 were considered statistically significant. The Statistica for Windows software package (version 8) was used for statistical analyses.

Results

Patient characteristics

One hundred and eighty-seven of the 211 (88.6%) patients prospectively enrolled in the trial were evaluable for PHD1, PHD2 and PHD3 staining. For the remaining 24 patients, insufficient material was available for the study. Patient characteristics are shown in Table S1 in Additional file 1. Ninety patients (48%) were randomised to the EPI arm and 97 (52%) patients were randomized to the EPI-TAM arm. PHD1 was evaluated at baseline excision in 176 (94%) tumours, PHD2 in 163 (87%) tumours and PHD3 in 177 (94%) tumours. The corresponding numbers of marker evaluation in matched tumour samples at biopsy and main tumour resection were 130 (69%), 111 (59%) and 127 (67.9%), respectively (Figure 1 in Additional file 1). There were 12 patients who had a complete pathological response.

Relationship between tumours expressing PHD1, PHD2 and PHD3 at baseline and clinicopathologic variables

PHD1 was expressed in 47/176 (26.7%), PHD2 in 85/163 (52.2%) and PHD3 in 69/177 (39%) of tumours at baseline. The distribution of expression differed for the different PHDs such that most positivity for PHD1 was weak whereas for PHD2 and PHD3 the dynamic

range of expression was wider being frequently moderate to strong. Since the dynamic range (the range of expression observed in this series) of the PHD1 was low, PHD1 stratified as only negative (no staining) and positive (>0 staining) whereas PHD2 and PHD3 were stratified semi-quantitatively (0-3). There was an inverse relationship between both PHD1 and PHD3 positivity and high tumour grade ($p < 0.03$ and $p = 0.04$, respectively) but no significant relationship was observed between PHD1 or PHD2 expression and HER2, T status, N status, p53, bcl2, Ki67, ER or PgR ($P > 0.05$; Tables S2 – S4 in Additional file 1). PHD3 expression did not show any relationship with any of the clinical and biological parameters considered. There was no association was observed between tumours that express all PHDs and tumour size, grade, nodal status, ER, PR, HER2, p53, ki67, bcl2 or ki67 (all $p > 0.05$) (Table S5 in Additional file 1).

Relationship of tumours expressing PHD1, PHD2 and PHD3 at baseline with HIF-1 α and HIF-induced markers.

There was a significant positive relationship between HIF-1 α and PHD1 ($p = 0.002$) and PHD3 ($p < 0.05$) but not PHD2 ($p = 0.41$). There was a significant positive relationship between VEGF and PHD1 ($p < 0.008$) and PHD3 ($p = 0.001$) but not PHD2 ($p = 0.09$). There was no significant association between CAIX and PHD1, PHD2 or PHD3 (all $p > 0.05$).

Effect of treatment on tumours expressing PHD1, PHD2 and PHD3

PHD1, PHD2 and PHD3 expression was significantly increased after therapy with epirubicin either alone or in combination with tamoxifen ($p < 0.0001$, $p < 0.0001$ and $p < 0.0001$) (Table 2). Thus PHD1 positivity was present in 43/130 baseline tumour samples (33.1%) but 111/130 tumour samples (85.4%) at residual tumour histology. Similar results were obtained for PHD2 where 49/111 (44.1%) tumour samples were positive at baseline and 98/111 (88.3%) tumour samples positive after chemotherapy. PHD3 was positive in 58/127 (45.6%) in tumour samples at baseline and 119/127 (93.7%) in tumour samples after chemotherapy. There was

no significant difference in PHD changes between the treatment arms, or between tumours stratified according to the ER status and treatment administered in ER positive patients (all $p>0.05$).

Predictive role of PHD1, PHD2 and PHD3 expression and treatment activity.

The relationship between baseline PHD1, PHD2 and PHD3 status and treatment response is depicted in table 3. PHD1 and PHD3 positivity showed a progressive decrease according to the grade of response obtained but this failed to attain statistical significance ($p=0.15$ and $p=0.14$, respectively). PHD2 positivity showed similar but increasing non-significant trend with tumour response ($p=0.17$). There was no significant difference in response in tumours that expressed all PHDs ($p=0.59$).

Prognostic role of PHD1, PHD2 and PHD3

There was no significant difference in disease-free survival (DFS) at baseline histology or residual histology for patients with tumours expressing PHD1 ($p=0.17$ and $p=0.23$, respectively), PHD2 ($p=0.91$ and $p=0.11$ respectively) or PHD3 ($p=0.42$ and $p=0.12$ respectively). There was no significant difference in DFS when stratifying patients by their tumours expressing all PHDs either at baseline ($p=0.76$) or residual histology ($P=0.22$).

Discussion

HIF signalling is critically important for cell survival in low oxygen environments, as occurs in tumours [24]. HIF is rapidly degraded after hydroxylation by the PHDs in the presence of molecular oxygen [25]. We hypothesised that tumours that have high baseline levels of the PHDs and/or are able to induce PHD after chemotherapy are able to modulate HIF-1 α levels and thereby alter HIF signalling. The resultant effect could change the biological behaviour of the tumour and may be useful as a predictive marker. We also hypothesised that certain chemotherapeutic agents may be able to alter PHDs expression in tumours either directly

through their effect on tumour cells or indirectly through changes in vascularity and oxygen delivery and thereby also modulate HIF activity.

We observed frequent expression of the PHDs in invasive breast carcinoma that ranged from ~25-50% of cancer cells, suggesting that the PHDs are important in human breast cancer. Expression of PHD2 and PHD3 was stronger than PHD1, which is in keeping with these being the most potent isoforms that regulate HIF-1 α and HIF-2 α [26], although PHD1 (and PHD3) may cooperate with PHD2, the major oxygen sensor [27]. Although PHD1 levels are regulated by estrogen [28], we observed no association between ER and PHD1 either at baseline or in patients treated with tamoxifen suggesting that this is not a major control mechanism for PHD1 in breast cancer. It also supports the notion of PHD1 not having a significant role in breast tumorigenesis. Nevertheless, PHD1 and PHD3 were significantly positively associated with HIF-1 α and the HIF-1 α regulated gene VEGF. It is recognised that PHD2 and PHD3 expression are modulated by directly by hypoxia but less appreciated is that PHD1 levels may be altered through suppression of its mRNA under hypoxia [29]. This is in keeping with the upregulation of all PHDs in the breast tumours in this series. Although one might anticipate that elevated levels of PHDs would lead directly to lower HIF through proteosomal degradation, the final effect is not predictable, as if chronic tumour hypoxia still persists, PHD hydroxylation will be abrogated. Furthermore, even in the presence of O₂, there is some evidence to suggest that the scaffold protein map organiser 1 may block the hydroxylated HIF-1 α from entering the degradation pathway, thus retaining some of its transcriptional activity [30]. Other mechanisms may also be present that may enable HIF to escape proteosomal degradation such as generation of reactive oxygen species [31]. The absence of a correlation of PHDs with CAIX is likely to be due to its significantly longer half-life than HIF-1 α , in the order of ~35 hours [32]. This is because for an association to be identified both markers need to be present at the same time. Thus, unlike HIF-1 α that has a half-life measured in minutes, the prolonged half-life of CAIX means that it would remain in the tumour when other proteins that have a shorter half-life are no longer present.

We also observed a significant increase all PHDs post chemotherapy in both arms. This is potentially hypoxically mediated, since epirubicin has been reported to result in an reduction of blood flow and to have antiangiogenic effects [33] Furthermore, despite all PHDs generally increasing post chemotherapy, there was a general trend for up-regulation of PHD2 and down-regulation of PHD1 and PHD3 to be associated with response. Although this differential regulation is unexpected it should be noted that although widely expressed they have differing tissue distributions (e.g. PHD3 is highly expressed in the heart whereas PHD1 is highly expressed in the testes) and it is unclear as to why the three different isoforms exist and what their specific or overlapping activities might be in HIF-related and independent biology.

Thus, although all three PHDs may have similar roles in some biological functions, they also have function specific to individual PHDs. For example, PHD2 appears to be the sole prolyl hydroxylase responsible for myocardial development since *Phd2^{-/-}* knockouts are embryonic lethal and the myocardium is severely underdeveloped, amongst other major defects [34]. The same phenotype is not observed with *Phd1^{-/-}* or *Phd3^{-/-}* knockouts. Furthermore, PHD3 is the only PHD that appears to mediate apoptosis [35], the latter requiring the catalytic activity of PHD3 (as cells are not rescued by HIF-1 α and/or HIF-2 α) suggesting that PHD3 has non-HIF targets [35]. However, the effects of PHDs also appear to be cell type specific. Thus the PHDs promote cell survival rather than cell death in chondrocytes. A further level of complexity is through the ability of particular PHDs can modulate HIF-independent pathways. Thus, PHD1, through hydroxylation of IKK β fails to result in disassociation of I κ B from NF κ B altering this transcriptional response [36].

The above data support the notion that the resultant decrease in PHD1 could result in a tumour responding to by via HIF-independent mechanisms. Thus, it would be anticipated loss of PHD1 may downregulate cyclin D1 levels and suppress mammary tumour proliferation [37]. However, the biological effect of the expression of particular PHDs in individual tumours may also depend on the type of hypoxia (acute and/or chronic) that it is exposed to.

Thus, desensitization and loss of HIF-1 α (and HIF-2 α) via hydroxylation by the PHDs has been reported to occur under long-term hypoxia, as intracellular O₂ availability is increased by inhibiting mitochondrial respiration [38].

Conclusions

PHDs are frequently expressed in breast cancer with PHD2 and PHD3 being the dominant isoforms. The association with HIF and VEGF and their upregulation on therapy in residual tumour suggests the PHDs may be a suitable target for anti-cancer therapy. However, conflicting *in vivo* preclinical as to their differing functional effects in a variety of pathways demonstrate further preclinical work is needed to resolve these issues.

Abbreviations

CAIX: carbonic anhydrase IX, Epi: epirubicin, HIF: hypoxia-inducible factor, PHD: prolyl hydroxylase, Tam: tamoxifen, TMA: tissue microarray, VEGF: vascular endothelial growth factor

Competing interests

The authors declare that they have no competing interests.

Authors' contributions

SBF contributed to study conception and design, data analysis and interpretation, and writing the manuscript. DG contributed to study conception and design, collection and assembly of data, data analysis and interpretation, and writing the manuscript. AB contributed to study conception and design, collection and assembly of data, data analysis and interpretation, and writing the manuscript. MPB contributed to study conception and design, and collection and assembly of data. LC, SA and Abe contributed to the provision of study materials or patients. SB, GA, and TM contributed to the provision of study materials or patients, and collection

and assembly of data. MM contributed to study conception and design, the provision of study materials or patients, and collection and assembly of data. ABo contributed to study conception and design. ALH contributed to data analysis and interpretation, and writing the manuscript. All authors read and approved the final manuscript.

Acknowledgements

This study was supported by the Victorian Breast Cancer Research Consortium, Australia, the Association Amici dell'Ospedale di Cremona, APOM Onlus, the Regione Piemonte, Progetto Ricerca Finalizzata type 3 no 24723, the Cancer Research UK and the Oxford NIHR Biomedical Research Programme.

References

1. Harris AL: **Hypoxia--a key regulatory factor in tumour growth.** *Nat Rev Cancer* 2002, **2**:38-47.
2. Generali D, Berruti A, Brizzi MP, Campo L, Bonardi S, Wigfield S, Bersiga A, Allevi G, Milani M, Aguggini S, Gandolfi V, Dogliotti L, Bottini A, Harris AL, Fox SB: **Hypoxia-Inducible Factor-1{alpha} Expression Predicts a Poor Response to Primary Chemoendocrine Therapy and Disease-Free Survival in Primary Human Breast Cancer.** *Clin Cancer Res* 2006, **12**:4562-4568.
3. Maxwell PH: **The HIF pathway in cancer.** *Semin Cell Dev Biol* 2005, **16**:523-530.
4. Jaakkola P, Mole DR, Tian YM, Wilson MI, Gielbert J, Gaskell SJ, Kriegsheim Av, Hebestreit HF, Mukherji M, Schofield CJ, Maxwell PH, Pugh CW, Ratcliffe PJ: **Targeting of HIF-alpha to the von Hippel-Lindau ubiquitylation complex by O2-regulated prolyl hydroxylation.** *Science* 2001, **292**:468-472.
5. Maxwell PH, Wiesener MS, Chang GW, Clifford SC, Vaux EC, Cockman ME, Wykoff CC, Pugh CW, Maher ER, Ratcliffe PJ: **The tumour suppressor protein VHL targets hypoxia-inducible factors for oxygen-dependent proteolysis.** *Nature* 1999, **399**:271-275.
6. Epstein AC, Gleadle JM, McNeill LA, Hewitson KS, O'Rourke J, Mole DR, Mukherji M, Metzen E, Wilson MI, Dhanda A, Tian YM, Masson N, Hamilton DL, Jaakkola P, Barstead R, Hodgkin J, Maxwell PH, Pugh CW, Schofield CJ, Ratcliffe PJ: **C. elegans EGL-9 and mammalian homologs define a family of dioxygenases that regulate HIF by prolyl hydroxylation.** *Cell* 2001, **107**:43-54.
7. Bos R, van der Groep P, Greijer AE, Shvarts A, Meijer S, Pinedo HM, Semenza GL, van Diest PJ, van der Wall E: **Levels of hypoxia-inducible factor-1alpha independently predict prognosis in patients with lymph node negative breast carcinoma.** *Cancer* 2003, **97**:1573-1581.
8. Dales JP, Garcia S, Meunier-Carpentier S, Andrac-Meyer L, Haddad O, Lavaut MN, Allasia C, Bonnier P, Charpin C: **Overexpression of hypoxia-inducible factor HIF-1alpha predicts early relapse in breast cancer: retrospective study in a series of 745 patients.** *Int J Cancer* 2005, **116**:734-739.
9. Chia SK, Wykoff CC, Watson PH, Han C, Leek RD, Pastorek J, Gatter KC, Ratcliffe P, Harris AL: **Prognostic significance of a novel hypoxia-regulated marker, carbonic anhydrase IX, in invasive breast carcinoma.** *J Clin Oncol* 2001, **19**:3660-3668.
10. Tan EY, Campo L, Han C, Turley H, Pezzella F, Gatter KC, Harris AL, Fox SB: **BNIP3 as a progression marker in primary human breast cancer; opposing functions in in situ versus invasive cancer.** *Clin Cancer Res* 2007, **13**:467-474.
11. Ryan HE, Lo J, Johnson RS: **HIF-1 alpha is required for solid tumor formation and embryonic vascularization.** *EMBO J* 1998, **17**:3005-3015.
12. Ryan HE, Poloni M, McNulty W, Elson D, Gassmann M, Arbeit JM, Johnson RS: **Hypoxia-inducible factor-1alpha is a positive factor in solid tumor growth.** *Cancer Res* 2000, **60**:4010-4015.
13. Carmeliet P, Dor Y, Herbert JM, Fukumura D, Brusselmans K, Dewerchin M, Neeman M, Bono F, Abramovitch R, Maxwell P, Koch CJ, Ratcliffe P, Moons L, Jain RK, Collen D, Keshert E: **Role of HIF-1alpha in hypoxia-mediated apoptosis, cell proliferation and tumour angiogenesis.** *Nature* 1998, **394**:485-490.
14. Chan DA, Kawahara TL, Sutphin PD, Chang HY, Chi JT, Giaccia AJ: **Tumor vasculature is regulated by PHD2-mediated angiogenesis and bone marrow-derived cell recruitment.** *Cancer Cell* 2009, **15**:527-538.
15. Soilleux EJ, Turley H, Tian YM, Pugh CW, Gatter KC, Harris AL: **Use of novel monoclonal antibodies to determine the expression and distribution of the hypoxia regulatory factors PHD-1, PHD-2, PHD-3 and FIH in normal and neoplastic human tissues.** *Histopathology* 2005, **47**:602-610.

16. Bottini A, Berruti A, Brizzi MP, Bersiga A, Generali D, Allevi G, Aguggini S, Bolsi G, Bonardi S, Tondelli B, Vana F, Tampellini M, Alquati P, Dogliotti L: **Cytotoxic and antiproliferative activity of the single agent epirubicin versus epirubicin plus tamoxifen as primary chemotherapy in human breast cancer: a single-institution phase III trial.** *Endocr Relat Cancer* 2005, **12**:383-392.
17. World Health Organization: **WHO handbook for reporting results of cancer treatment.** Geneva, Switzerland: WHO offset publication; 1978.
18. Generali D, Buffa FM, Berruti A, Brizzi MP, Campo L, Bonardi S, Bersiga A, Allevi G, Milani M, Aguggini S, Papotti M, Dogliotti L, Bottini A, Harris AL, Fox SB: **Phosphorylated ERalpha, HIF-1alpha, and MAPK signaling as predictors of primary endocrine treatment response and resistance in patients with breast cancer.** *J Clin Oncol* 2009, **27**:227-234.
19. Bottini A, Berruti A, Bersiga A, Brizzi MP, Brunelli A, Gorzegno G, DiMarco B, Aguggini S, Bolsi G, Cirillo F, Filippini L, Betri E, Bertoli G, Alquati P, Dogliotti L: **p53 but not bcl-2 immunostaining is predictive of poor clinical complete response to primary chemotherapy in breast cancer patients.** *Clin Cancer Res* 2000, **6**:2751-2758.
20. Bottini A, Berruti A, Bersiga A, Brizzi MP, Bruzzi P, Aguggini S, Brunelli A, Bolsi G, Allevi G, Generali D, Betri E, Bertoli G, Alquati P, Dogliotti L: **Relationship between tumour shrinkage and reduction in Ki67 expression after primary chemotherapy in human breast cancer.** *Br J Cancer* 2001, **85**:1106-1112.
21. McCarty KS, Jr., Miller LS, Cox EB, Konrath J, McCarty KS, Sr.: **Estrogen receptor analyses. Correlation of biochemical and immunohistochemical methods using monoclonal antireceptor antibodies.** *Arch Pathol Lab Med* 1985, **109**:716-721.
22. Boddy JL, Fox SB, Han C, Campo L, Turley H, Kanga S, Malone PR, Harris AL: **The androgen receptor is significantly associated with vascular endothelial growth factor and hypoxia sensing via hypoxia-inducible factors HIF-1a, HIF-2a, and the prolyl hydroxylases in human prostate cancer.** *Clin Cancer Res* 2005, **11**:7658-7663.
23. Couvelard A, O'Toole D, Turley H, Leek R, Sauvanet A, Degott C, Ruzniewski P, Belghiti J, Harris AL, Gatter K, Pezzella F: **Microvascular density and hypoxia-inducible factor pathway in pancreatic endocrine tumours: negative correlation of microvascular density and VEGF expression with tumour progression.** *Br J Cancer* 2005, **92**:94-101.
24. Ruan K, Song G, Ouyang G: **Role of hypoxia in the hallmarks of human cancer.** *J Cell Biochem* 2009, **107**:1053-1062.
25. Semenza GL: **Regulation of cancer cell metabolism by hypoxia-inducible factor 1.** *Semin Cancer Biol* 2009, **19**:12-16.
26. Henze AT, Riedel J, Diem T, Wenner J, Flamme I, Pouysegur J, Plate KH, Acker T: **Prolyl hydroxylases 2 and 3 act in gliomas as protective negative feedback regulators of hypoxia-inducible factors.** *Cancer Res* 2009, **70**:357-366.
27. Minamishima YA, Moslehi J, Padera RF, Bronson RT, Liao R, Kaelin WG, Jr.: **A feedback loop involving the Phd3 prolyl hydroxylase tunes the mammalian hypoxic response in vivo.** *Mol Cell Biol* 2009, **29**:5729-5741.
28. Appelhoff RJ, Tian YM, Raval RR, Turley H, Harris AL, Pugh CW, Ratcliffe PJ, Gleadle JM: **Differential function of the prolyl hydroxylases PHD1, PHD2, and PHD3 in the regulation of hypoxia-inducible factor.** *J Biol Chem* 2004, **279**:38458-38465.
29. Tian YM, Mole DR, Ratcliffe PJ, Gleadle JM: **Characterization of different isoforms of the HIF prolyl hydroxylase PHD1 generated by alternative initiation.** *Biochem J* 2006, **397**:179-186.
30. Fong GH, Takeda K: **Role and regulation of prolyl hydroxylase domain proteins.** *Cell Death and Differentiation* 2008, **15**:635-641.

31. Kaelin WG, Jr., Ratcliffe PJ: **Oxygen sensing by metazoans: the central role of the HIF hydroxylase pathway.** *Mol Cell* 2008, **30**:393-402.
32. Sobhanifar S, Aquino-Parsons C, Stanbridge EJ, Olive P: **Reduced expression of hypoxia-inducible factor-1alpha in perinecrotic regions of solid tumors.** *Cancer Res* 2005, **65**:7259-7266.
33. Maragoudakis ME, Peristeris P, Missirlis E, Aletras A, Andriopoulou P, Haralabopoulos G: **Inhibition of angiogenesis by anthracyclines and titanocene dichloride.** *Ann N Y Acad Sci* 1994, **732**:280-293.
34. Takeda K, Ho VC, Takeda H, Duan LJ, Nagy A, Fong GH: **Placental but not heart defects are associated with elevated hypoxia-inducible factor alpha levels in mice lacking prolyl hydroxylase domain protein 2.** *Mol Cell Biol* 2006, **26**:8336-8346.
35. Lee S, Nakamura E, Yang H, Wei W, Linggi MS, Sajan MP, Farese RV, Freeman RS, Carter BD, Kaelin WG Jr, Schlisio S: **Neuronal apoptosis linked to EglN3 prolyl hydroxylase and familial pheochromocytoma genes: developmental culling and cancer.** *Cancer Cell* 2005, **8**:155-167.
36. Cummins EP, Berra E, Comerford KM, Ginouves A, Fitzgerald KT, Seeballuck F, Godson C, Nielsen JE, Moynagh P, Pouyssegur J, Taylor CT: **Prolyl hydroxylase-1 negatively regulates I κ B kinase-beta, giving insight into hypoxia-induced NF κ B activity.** *Proc Natl Acad Sci U S A* 2006, **103**:18154-18159.
37. Zhang Q, Gu J, Li L, Liu J, Luo B, Cheung HW, Boehm JS, Ni M, Geisen C, Root DE, Polyak K, Brown M, Richardson AL, Hahn WC, Kaelin WG Jr, Bommi-Reddy A: **Control of cyclin D1 and breast tumorigenesis by the EglN2 prolyl hydroxylase.** *Cancer Cell* 2009, **16**:413-424.
38. Ginouves A, Ilc K, Macias N, Pouyssegur J, Berra E: **PHDs overactivation during chronic hypoxia "desensitizes" HIFalpha and protects cells from necrosis.** *Proc Natl Acad Sci U S A* 2008, **105**:4745-4750.

Table 1: Distribution of immunoscore for hypoxia pathway factors and cut-off used in semi-quantitative analyses.

HIF-1α	
0	33 (19.3%)
1	100 (58.5%)
2	38 (22.2%)
Missing	16
VEGF	
0	32 (20.0%)
1	39 (24.4%)
2	37 (23.1%)
4	52 (32.5%)
Missing	27
CAIX	
0	125 (75.3%)
1	20 (12.1%)
≥ 2	21 (12.6%)
Missing	21
PHD1	
0	129 (73.3%)
1	43 (24.4%)
2	3 (1.7%)
3	1 (0.6%)
Missing	11
PHD2	
0	78 (47.8%)
1	51 (31.3%)
2	30 (18.4%)
3	4 (2.4%)
Missing	24
PHD3	
0	108 (61.0%)
1	53 (29.9%)
2	15 (8.5%)
3	1 (0.6%)
Missing	10

Numbers refer to the number of tumours positive in each intensity score category.

Table 2. PHD1, PHD2 and PHD3 expression before and after treatment in matched cases

PHD1	Before <i>n</i> (%)	After <i>n</i> (%)	<i>P</i> value
Overall (n=130)			
0	87 (66.9)	19 (14.6)	0.0000
1	39 (30.0)	68 (53.3)	
2	3 (2.3)	36 (27.7)	
3	1 (0.8)	7 (5.4)	
EPI (n=64)			
0	40 (62.5)	8 (12.5)	0.0000
1	23 (35.9)	32 (50.0)	
2	1 (1.6)	23 (35.9)	
3		1 (1.6)	
EPI-TAM (n=66)			
0	47 (71.2)	11 (16.7)	0.0000
1	16 (24.2)	36 (54.5)	
2	2 (3.0)	13 (19.7)	
3	1 (1.5)	6 (9.1)	
PHD2			
	Before <i>n</i> (%)	After <i>n</i> (%)	
Overall (n=111)			
0	62 (55.9%)	13 (11.7%)	0.0000
1	31 (27.9%)	15 (13.6%)	
2	16 (14.4%)	43 (38.7%)	
3	2 (1.8%)	40 (36.0%)	
EPI (n=59)			
0	31 (52.5)	5 (8.5)	0.0000
1	16 (27.1)	6 (10.2)	
2	10 (16.9)	22 (37.3)	
3	2 (3.4)	26 (44.1)	
EPI-TAM (n=52)			
0	31 (59.6)	8 (15.4)	0.0000
1	15 (28.8)	9 (17.3)	
2	6 (11.5)	21(40.4)	
3	0	14 (26.9)	
PHD3			
	Before <i>n</i> (%)	After <i>n</i> (%)	
Overall (n=127)			
0	69/127 (54.3%)	8/127 (6.3%)	0.00
1	46/127 (36.2%)	47/127 (37.0%)	
2	12/127 (9.4%)	47/127 (37.0%)	
3	0.00	25/127 (19.7%)	
EPI (n=63)			
0	29/63(46.0%)	3/63(4.8%)	0.00
1	27/63 (42.8%)	22/63 (34.9%)	
2	7/63 (11.1%)	23/63 (36.5%)	
3	0.00	15/63 (23.8%)	
EPI-TAM (n=64)			
0	40/64 (62.5%)	5/64 (7.8%)	0.00
1	19/64 (29.7%)	25/64 (39.1%)	
2	5/64 (7.8%)	24/64 (37.5%)	
3	0.00	10/64 (15.6%)	

Table 3. Predictive role of baseline PHD1, PHD2 and PHD3 positivity for disease response in overall cases

	No response	PR	CR	P value
PHD1 positive	13/38 (34.2%)	28/105 (26.7%)	6/32 (18.7%)	0.15
PHD2 positive	18/35 (51.4%)	45/97 (46.4%)	21/30 (70.0%)	0.17
PHD3 positive	19/38 (50.0%)	39/105 (37.1%)	11/33 (33.3%)	0.14

One patient was not assessable for disease response due to treatment refusal after 1 cycle

Additional files

Additional file 1. Supplementary data. Table S1. Patient characteristics. Table S2. Distribution of clinical and immunohistochemical parameters according to PHD1 expression (0, >0). Table S3. Distribution of clinical and immunohistochemical parameters according to PHD2 expression (0, 1, ≥ 2). Table S4. Distribution of clinical and immunohistochemical parameters according to PHD3 expression (0, 1, ≥ 2). Table S5. Distribution of clinical and immunohistochemical parameters according to PHD (all positive). Figure 1. Consort diagram.

Additional files provided with this submission:

Additional file 1: supp1.doc, 169K

<http://breast-cancer-research.com/imedia/5234067185139730/supp1.doc>